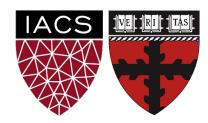
Lecture 14: Summarization

An exercise in quickly reading and experimenting

Harvard

AC295/CS287r/CSCI E-115B

Chris Tanner





ANNOUNCEMENTS

- HW3 is due tonight @ 11:59pm!
- HW2 and Phase 2 are being graded
- Research Project Phase 3 due Oct 28 (Thurs) @ 11:59pm

Some slides were largely inspired by, based on, or directly from:

- Dan Jurafsky (Stanford)
- Chan Young Park (CMU)
- Pengfei Liu (CMU)

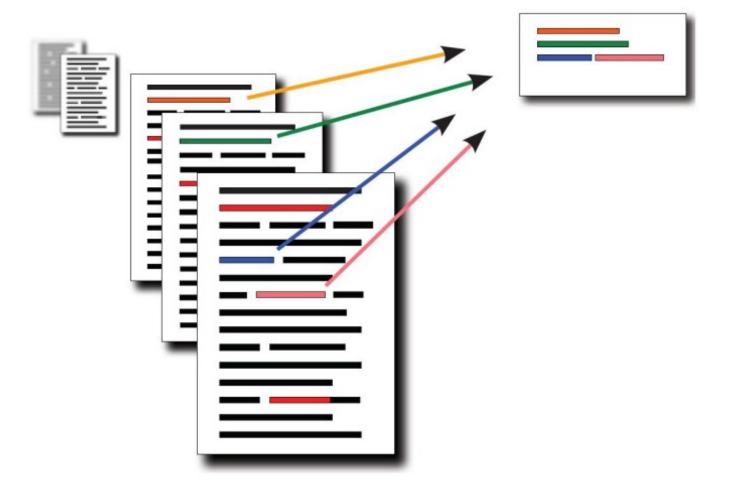
Outline

- What is summarization?
- Data and metrics
- Workshop time
- Modern approaches

Outline

- What is summarization?
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Task: produce an abridged version of a text while retaining the key, relevant information



Useful for creating:

- outlines or abstracts of any document, article, etc
- summaries of chat and email
- action items from a meeting
- simplifying text by compressing sentences

Input:

- single document summarization (SDS)
- multiple-document summarization (MDS)

Output:

- extractive
- abstractive

Focus:

- generic (unconditioned)
- query-focused (conditioned)

Approach:

- supervised
- unsupervised

Single-document summarization

Given a single doc, produce:

- Abstract
- Outline
- Headline

Multiple-document summarization

Given a group of docs, produce:

- Series of news stories on the same event
- Set of web pages about some topic or question

Single-doc summarization

Document

Cambodian leader Hun Sen on Friday rejected opposition parties ' demands for talks outside the country, accusing them of trying to " internationalize " the political crisis .

Government and opposition parties have asked King Norodom Sihanouk to host a summit meeting after a series of post-election negotiations between the two opposition groups and Hun Sen's party to form a new government failed.

Opposition leaders Prince Norodom Ranariddh and Sam Rainsy, citing Hun Sen 's threats to arrest opposition figures after two alleged attempts on his life, said they could not negotiate freely in Cambodia and called for talks at Sihanouk 's residence in Beijing .Hun Sen , however , rejected that ."

I would like to make it clear that all meetings related to Cambodian affairs must be conducted in the Kingdom of Cambodia, " Hun Sen told reporters after a Cabinet meeting on Friday ." No-one should internationalize Cambodian affairs .

It is detrimental to the sovereignty of Cambodia, " he said .Hun Sen 's Cambodian People 's Party won 64 of the 122 parliamentary seats in July 's elections, short of the two-thirds majority needed to form a government on its own .Ranariddh and Sam Rainsy have charged that Hun Sen 's victory in the elections was achieved through widespread fraud .They have demanded a thorough investigation into their election complaints as a precondition for their cooperation in getting the national assembly moving and a new government formed

Summary

Cambodian government rejects opposition's call for talks abroad



Multi-doc summarization

Documents

(CNN) -- A delegation of painters and calligraphers, a group of Buddhists returning from a religious gathering in Kuala Lumpur, a three-generation family, nine senior travelers and five toddlers. Most of the 227 passengers on board missing Malaysia Airlines Flight 370 were Chinese, according to the airline's flight manifest. The 12 missing crew members on the flight that disappeared early Saturday were Malaysian. The airline's list showed the passengers hailed from 14 countries, but later it was learned that two people named on the manifest -- an Austrian and an Italian -- whose passports had been stolen were not aboard the plane. The plane was carrying five children under 5 years old, the airline said.

:

Summary

Flight MH370, carrying 239 people vanished over the South China Sea in less than an hour after taking off from Kuala Lumpur, with two boarded passengers Boeing 777 using stolen passports. Possible reasons could be an abrupt breakup of plane or an act of The government terrorism. was determining the "true identities" of the passengers who used the stolen passports. Investigators were trying to determine the path of the plane by analysing civilian and military radar data while ships and aircraft from seven countries scouring the seas around Malaysia and south of Vietnam.



Output

Extractive summarization

Select from the source text spans that capture the key information

Abstractive summarization

Generate new text that encapsulates the key information from the source text

Focus

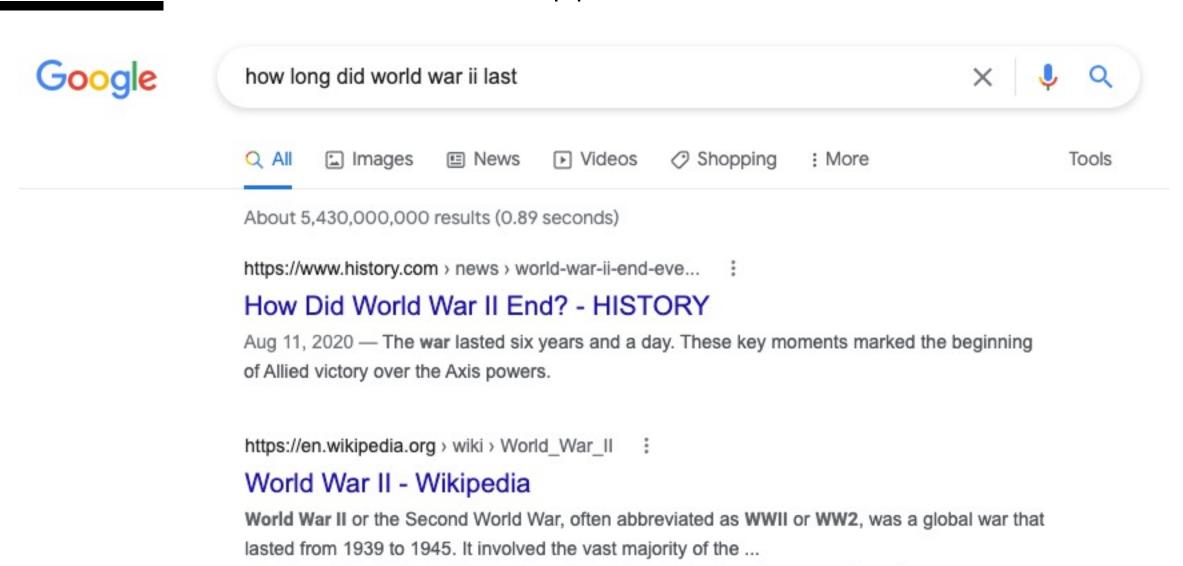
Generic summarization

 Summarize the content of the doc(s)

Query-focused summarization

- Summarize a doc w.r.t. a user's query
- Complex question-answering (answer a question by summarizing a doc that has the information to construct the answer)

Query-focused summarization: snippets



Timeline of World War II (1945) List of World War II battles Category War effort

Query-focused summarization: snippets

- Create answers to complex questions, summarizing multiple documents
- Instead of giving a snippet for each document, create a cohesive answer that combines information from multiple documents

Q: does this seem like a job for <u>extractive</u> or <u>abstractive</u> summarization?

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Q: How to find the list of commonly used datasets?

A: Look at the recent SOTA papers

Q: How to find the list of commonly used datasets?

A: Look at the recent SOTA papers

Q: How to find the SOTA papers?

A:

- nlpprogress.com
- paperswithcode.com/sota
- <u>connectedpapers.com</u>

Text Summarization with Pretrained Encoders

Yang Liu and Mirella Lapata

Institute for Language, Cognition and Computation School of Informatics, University of Edinburgh yang.liu2@ed.ac.uk, mlap@inf.ed.ac.uk

Datasets	# docs (train/val/test)	avg. doc length		avg. summary length		% novel bi-grams	
		words	sentences	words	sentences	in gold summary	
CNN	90,266/1,220/1,093	760.50	33.98	45.70	3.59	52.90	
DailyMail	196,961/12,148/10,397	653.33	29.33	54.65	3.86	52.16	
NYT	96,834/4,000/3,452	800.04	35.55	45.54	2.44	54.70	
XSum	204,045/11,332/11,334	431.07	19.77	23.26	1.00	83.31	

Table 1: Comparison of summarization datasets: size of training, validation, and test sets and average document and summary length (in terms of words and sentences). The proportion of novel bi-grams that do not appear in source documents but do appear in the gold summaries quantifies corpus bias towards extractive methods.

SimCLS: A Simple Framework for Contrastive Learning of Abstractive Summarization

Yixin Liu

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3 Experiments

3.1 Datasets

articles dataset.

We use two datasets for our experiments. The dataset statistics are listed in Appendix A.

CNNDM CNN/DailyMail² (Hermann et al., 2015;

Nallapati et al., 2016) dataset is a large scale news

XSum XSum³ (Narayan et al., 2018) dataset is a highly abstractive dataset containing online articles from the British Broadcasting Corporation (BBC).

4 Dataset

We use the *CNN/Daily Mail* dataset (Hermann et al., 2015; Nallapati et al., 2016), which contains online news articles (781 tokens on average) paired with multi-sentence summaries (3.75 sentences or 56 tokens on average). We used scripts supplied by Nallapati et al. (2016) to obtain the same version of the the data, which has 287,226 training pairs, 13,368 validation pairs and 11,490 test pairs. Both the dataset's published results (Nallapati et al., 2016, 2017) use the anonymized version of the data, which has been pre-processed to replace each named entity, e.g., The United Nations, with its own unique identifier for the example pair, e.g., @entity5. By contrast, we operate directly on the original text (or non-anonymized version of the data),2 which we believe is the favorable problem to solve because it requires no pre-processing

Get To The Point: Summarization with Pointer-Generator Networks

Abigail See Stanford University abisee@stanford.edu Peter J. Liu Google Brain peterjliu@google.com Christopher D. Manning Stanford University manning@stanford.edu

CNN/DailyMail

STORY HIGHLIGHTS

Trump will head to Texas on Tuesday

The White House has yet to say where Trump will travel **Washington (CNN)** — President Donald Trump struck a unifying tone Monday as he addressed the devastation in Texas wrought by Hurricane Harvey at the top of a joint news conference with Finland's president.

"We see neighbor helping neighbor, friend helping friend

and stranger helping stranger," Trump said. "We are one American family. We hurt together, we struggle together and believe me, we endure together."

Trump extended his "thoughts and prayers" to those affected by the hurricane and catastrophic flooding that ensued in Texas, and also promised Louisiana residents that the federal government is prepared to help as the tropical storm makes its way toward that state.

"To the people of Texas and Louisiana, we are 100% with you," Trump said from the East Room of the White House.

CNN/DailyMail

https://huggingface.co/datasets/cnn_dailymail

Dataset Card for CNN Dailymail Dataset

Dataset Summary

The CNN / DailyMail Dataset is an English-language dataset containing just over 300k unique news articles as written by journalists at CNN and the Daily Mail. The current version supports both extractive and abstractive summarization, though the original version was created for machine reading and comprehension and abstractive question answering.

Dataset Split	Number of Instances in Split			
Train	287,113			
Validation	13,368			
Test	11,490			

https://huggingface.co/datasets/cnn_dailymail

Initial Data Collection and Normalization

The data consists of news articles and highlight sentences. In the question answering setting of the data, the articles are used as the context and entities are hidden one at a time in the highlight sentences, producing Cloze style questions where the goal of the model is to correctly guess which entity in the context has been hidden in the highlight. In the summarization setting, the highlight sentences are concatenated to form a summary of the article. The CNN articles were written between April 2007 and April 2015. The Daily Mail articles were written between June 2010 and April 2015.

XSum

SimCLS: A Simple Framework for Contrastive Learning of Abstractive Summarization

XSum XSum³ (Narayan et al., 2018) dataset is a highly abstractive dataset containing online articles from the British Broadcasting Corporation (BBC).

Let's follow the trail...

XSum

Don't Give Me the Details, Just the Summary! Topic-Aware Convolutional Neural Networks for Extreme Summarization

Shashi Narayan Shay B. Cohen Mirella Lapata

Institute for Language, Cognition and Computation School of Informatics, University of Edinburgh 10 Crichton Street, Edinburgh, EH8 9AB shashi.narayan@ed.ac.uk, {scohen,mlap}@inf.ed.ac.uk SUMMARY: A man and a child have been killed after a light aircraft made an emergency landing on a beach in Portugal.

DOCUMENT: Authorities said the incident took place on Sao Joao beach in Caparica, south-west of Lisbon.

The National Maritime Authority said a middleaged man and a young girl died after they were unable to avoid the plane.

[6 sentences with 139 words are abbreviated from here.]

Other reports said the victims had been sunbathing when the plane made its emergency landing.

[Another 4 sentences with 67 words are abbreviated from here.]

Video footage from the scene carried by local broadcasters showed a small recreational plane parked on the sand, apparently intact and surrounded by beachgoers and emergency workers.

[Last 2 sentences with 19 words are abbreviated.]

Figure 1: An abridged example from our extreme summarization dataset showing the document and its oneline summary. Document content present in the summary is color-coded.

Datasets	# docs (train/val/test)	avg. document length		avg. summary length		vocabulary size	
		words	sentences	words	sentences	document	summary
CNN	90,266/1,220/1,093	760.50	33.98	45.70	3.59	343,516	89,051
DailyMail	196,961/12,148/10,397	653.33	29.33	54.65	3.86	563,663	179,966
NY Times	589,284/32,736/32,739	800.04	35.55	45.54	2.44	1,399,358	294,011
XSum	204,045/11,332/11,334	431.07	19.77	23.26	1.00	399,147	81,092

Metrics: ROUGE-N

Intrinsic metric for automatically evaluating summaries

- Based on BLEU (remember, MT)
- Not as good as human evaluation (of course)

Given a document D, and an automated summary X:

- Get N humans to produce a set of reference summaries of D
- Run model to produce X

Metrics: ROUGE-N

https://dl.acm.org/doi/pdf/10.5555/1273073.1273093

4 ROUGE

The state-of-the-art automatic summarization evaluation method is ROUGE (Recall Oriented Understudy for Gisting Evaluation, (Hovy and Lin 2002)), an n-gram based comparison that was motivated by the machine translation evaluation metric, Bleu (Papineni et. al. 2001). This system uses a variety of n-gram matching approaches, some of which allow gaps within the matches as well as more sophistcated analyses. Surprisingly, simple unigram and bigram matching works extremely well. For example, at DUC 05, ROUGE-2 (bigram match) had a Spearman correlation of 0.95

and a Pearson correlation of 0.97 when compared with human evaluation of the summaries for responsiveness (Dang 2005). ROUGE-n for matching n-grams of a summary X against h model human summaries is given by:

$$R_n(X) = \frac{\sum_{j=1}^h \sum_{i \in N_n} \min(X_n(i), M_n(i, j))}{\sum_{j=1}^h \sum_{i \in N_n} M_n(i, j),}$$

where $X_n(i)$ is the count of the number of times the n-gram i occurred in the summary and $M_n(i,j)$ is the number of times the n-gram i occurred in the j-th model (human) summary. (Note that for brevity of notation, we assume that lemmatization (stemming) is done *apriori* on the terms.)

Metrics: ROUGE-N

- Query: "What is water spinach?"
- Model's output: "Water spinach is a leaf vegetable commonly eaten in tropical areas of Asia."
- Human Summaries (gold truth):

Human 1: Water spinach is a green leafy vegetable grown in the tropics.

Human 2: Water spinach is a semi-aquatic tropical plant grown as a vegetable.

Human 3: Water spinach is a commonly eaten leaf vegetable of Asia.

ROUGE-2 =
$$\frac{3+3+6}{10+9+9}$$
 = 12/28 = .43

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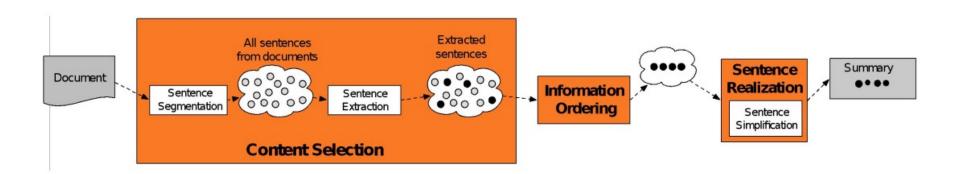
Workshop time

How would you attempt summarization?

Create a baseline system

Unsupervised extractive approaches

- content selection: choose sentences to extract from the document
- 2. information ordering: choose an order to place them in the summary
- 3. sentence realization: clean up the sentences



Unsupervised extractive approaches

H. P. Luhn. 1958. The Automatic Creation of Literature Abstracts. IBM Journal of Research and Development. 2:2, 159-165.

- Intuition dating back to Luhn (1958):
 - Choose sentences that have salient or informative words
- Two approaches to defining salient words
 - 1. tf-idf: weigh each word w_i in document j by tf-idf $weight(w_i) = tf_{ij} \times idf_i$
 - 2. topic signature: choose a smaller set of salient words
 - mutual information
 - log-likelihood ratio (LLR) Dunning (1993), Lin and Hovy (2000)

$$weight(w_i) = \begin{cases} 1 & \text{if } -2\log\lambda(w_i) > 10\\ 0 & \text{otherwise} \end{cases}$$

Signature-based content selection with queries

Conroy, Schlesinger, and O'Leary 2006

- choose words that are informative either
 - by log-likelihood ratio (LLR)
 - or by appearing in the query

$$weight(w_i) = \begin{cases} 1 & \text{if } -2\log\lambda(w_i) > 10 \\ 1 & \text{if } w_i \in question \\ 0 & \text{otherwise} \end{cases}$$
 (could learn more complex weights)

Weigh a sentence (or window) by weight of its words:

$$weight(s) = \frac{1}{|S|} \sum_{w \in S} weight(w)$$

Graph-based ranking algorithms

unsupervised sentence extraction

$$Similarity(S_i, S_j) = \frac{|W_k|W_k \in S_i \& W_k \in S_j|}{\log(|S_i|) + \log(|S_j|)}$$

- 3: BC-HurricaineGilbert, 09-11 339
- 4: BC-Hurricaine Gilbert, 0348
- 5: Hurricaine Gilbert heads toward Dominican Coast
- 6: By Ruddy Gonzalez
- 7: Associated Press Writer
- 8: Santo Domingo, Dominican Republic (AP)
- 9: Hurricaine Gilbert Swept towrd the Dominican Republic Sunday, and the Civil Defense alerted its heavily populated south coast to prepare for high winds, heavy rains, and high seas.
- 10: The storm was approaching from the southeast with sustained winds of 75 mph gusting to 92 mph.
- 11: "There is no need for alarm," Civil Defense Director Eugenio Cabral said in a television alert shortly after midnight Saturday.
- 12: Cabral said residents of the province of Barahona should closely follow Gilbert's movement.
- 13: An estimated 100,000 people live in the province, including 70,000 in the city of Barahona, about 125 miles west of Santo Domingo.
- 14. Tropical storm Gilbert formed in the eastern Carribean and strenghtened into a hurricaine Saturday night.
- 15: The National Hurricaine Center in Miami reported its position at 2 a.m. Sunday at latitude 16.1 north, longitude 67.5 west, about 140 miles south of Ponce, Puerto Rico, and 200 miles southeast of Santo Domingo.
- 16: The National Weather Service in San Juan, Puerto Rico, said Gilbert was moving westard at 15 mph with a 'broad area of cloudiness and heavy weather' rotating around the center of the storm.
- The weather service issued a flash flood watch for Puerto Rico and the Virgin Islands until at least 6 p.m. Sunday.
- 18: Strong winds associated with the Gilbert brought coastal flooding, strong southeast winds, and up to 12 feet to Puerto Rico's south coast.
- 19: There were no reports on casualties.
- San Juan, on the north coast, had heavy rains and gusts Saturday, but they subsided during the night.
- 21: On Saturday, Hurricane Florence was downgraded to a tropical storm, and its remnants pushed inland from the U.S. Gulf Coast.
- 22: Residents returned home, happy to find little damage from 90 mph winds and sheets of rain.
- 23: Florence, the sixth named storm of the 1988 Atlantic storm season, was the second hurricane.
- 24: The first, Debby, reached minimal hurricane strength briefly before hitting the Mexican coast last month.

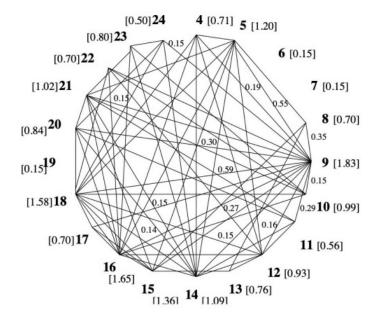


Figure 1: Sample graph build for sentence extraction from a newspaper article.

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Preparation: Research Concepts

Generation Way

- · gen-ext: Extractive Summarization
- · gen-abs : Abstractive Summarization
- gen-2stage Two-stage Summarization (compressive, hybrid)

Regressive Way

- · regr-auto: Autoregressive Decoder (Pointer network)
- · regr-nonauto: Non-autoregressive Decoder (Sequence labeling)

Supervision

- · sup-sup : Supervised Learning
- sup-weak (implies sup-sup): Weakly Supervised Learning
- sup-unsup: Unsupervised Learning

Task Settings

rich of task settings!

- · task-single: Single-document Summarization
- · task-multi : Multi-document Summarization
- task-senCompre: Sentence Compression
- · task-sci : Scientific Paper
- task-multimodal: Multi-modal Summarization
- · task-aspect : Aspect-based Summarization
- · task-opinion : Opinion Summarization
- · task-questoin: Question-based Summarization

Architecture (Mechanism)

- · arch-rnn: Recurrent Neural Networks (LSTM, GRU)
- · arch-cnn: Convolutional Neural Networks (CNN)
- · arch-transformer : Transformer
- · arch-graph: Graph Neural Networks or Statistic Graph Models
- · arch-gnn: Graph Neural Networks
- · arch-att : Attention Mechanism
- arch-pointer: Pointer Layer
- arch-coverage : Coverage Mechanism

Training

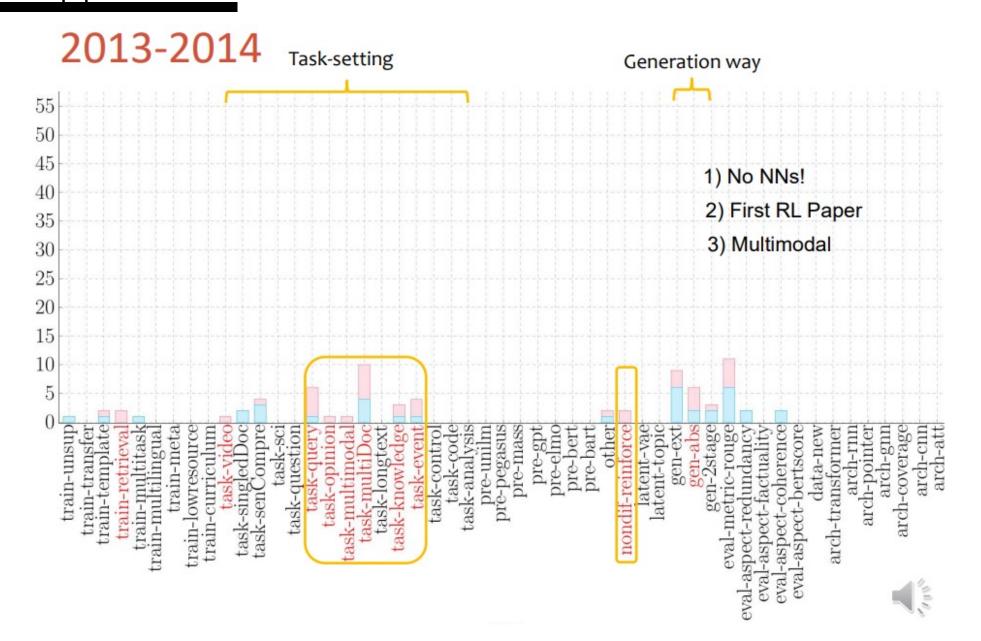
- · train-multitask: Multi-task Learning
- · train-multilingual : Multi-lingual Learning
- · train-multimodal : Multi-modal Learning
- · train-auxiliary : Joint Training
- · train-transfer: Cross-domain Learning, Transfer Learning, Domain Adaptation
- train-active : Active Learning, Boostrapping
- · train-adver : Adversarial Learning
- · train-template : Template-based Summarization
- · train-augment : Data Augmentation
- · train-curriculum : Curriculum Learning
- train-lowresource : Low-resource Summarization
- train-retrieval: Retrieval-based Summarization
- · train-meta: Meta-learning

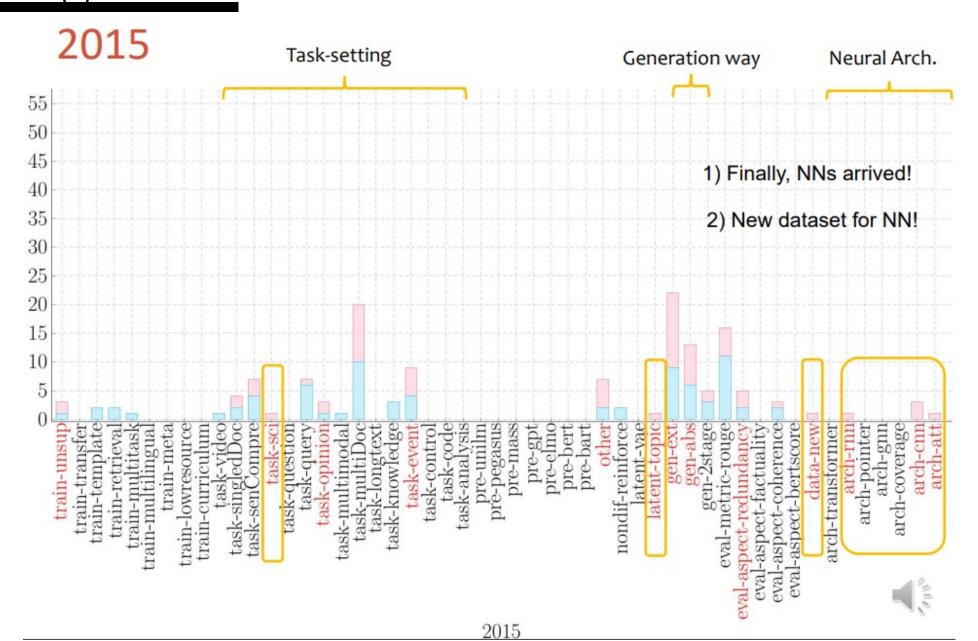
Pre-trained Models

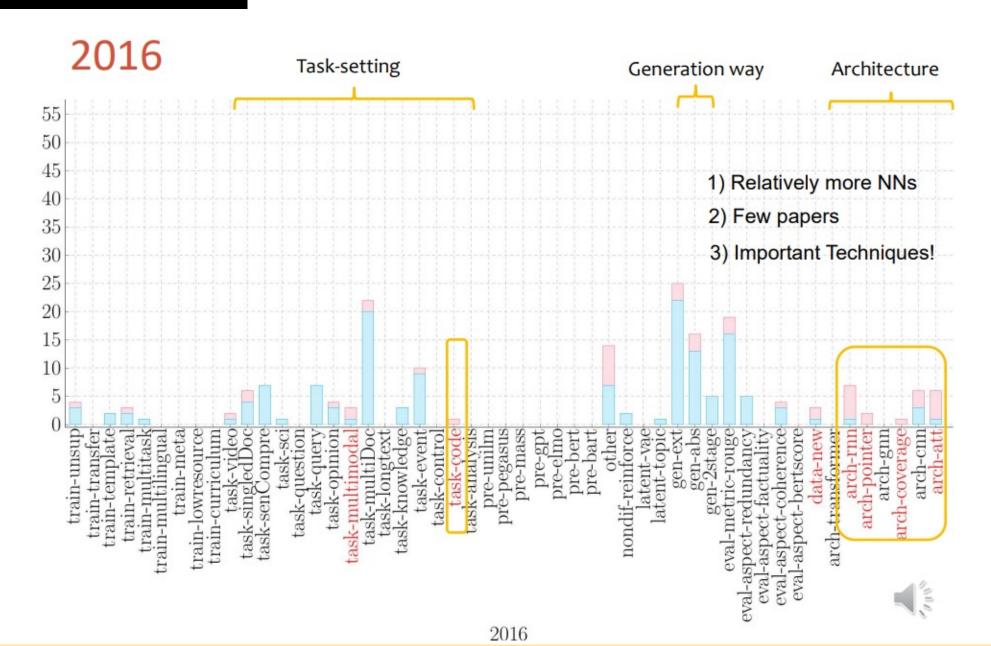
- pre-word2vec : word2vec
- · pre-glove : GLoVe
- pre-bert : BERT



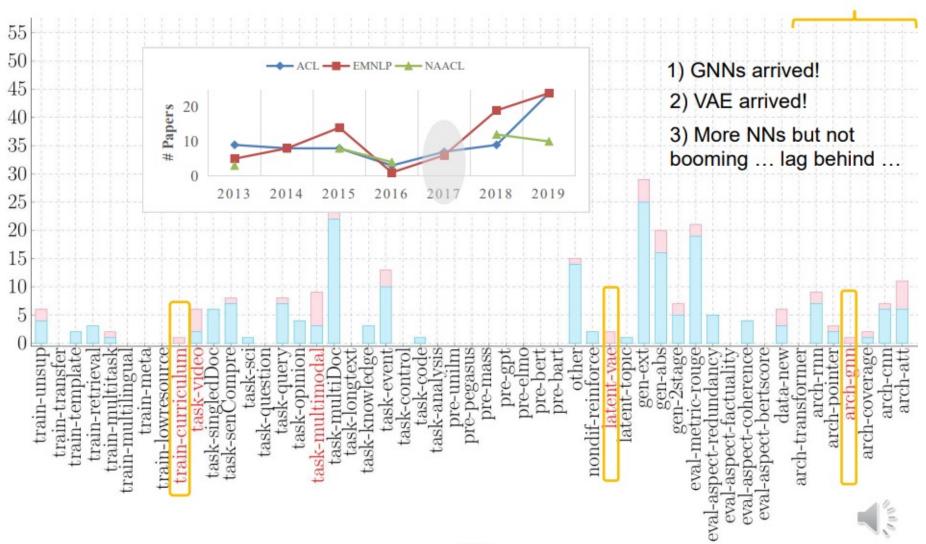




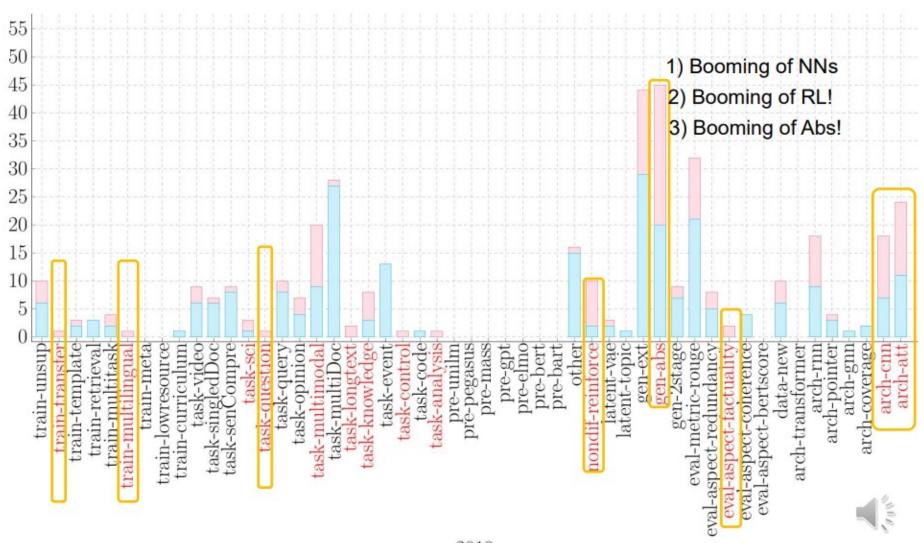




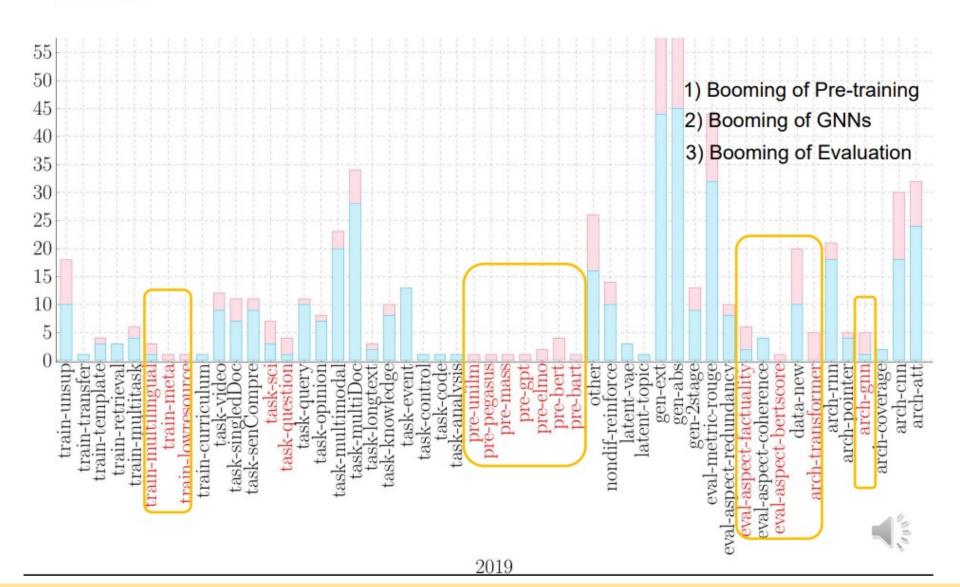
2017 Neural Arch.



2018: booming!



2018



Abstractive Text Summarization using seq-to-seq RNNs

Implements many tricks (nmt, copy, coverage, hierarchical, external knowledge)

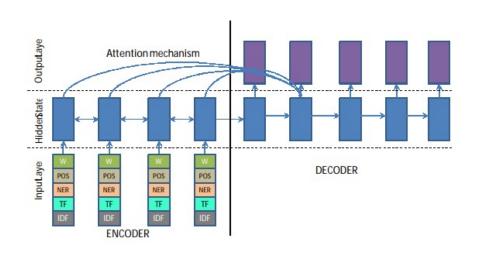


Figure 1: Feature-rich-encoder: We use one embedding vector each for POS, NER tags and discretized TF and IDF values, which are concatenated together with word-based embeddings as input to the encoder.

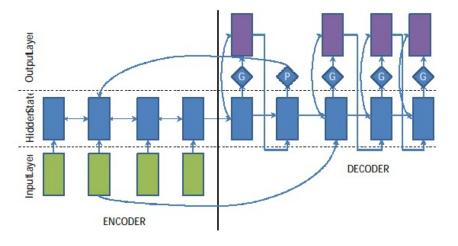
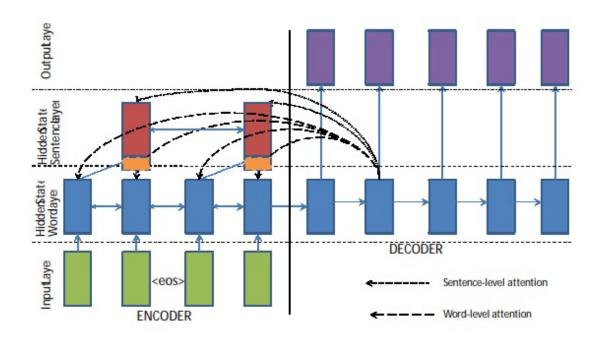


Figure 2: Switching generator/pointer model: When the switch shows 'G', the traditional generator consisting of the softmax layer is used to produce a word, and when it shows 'P', the pointer network is activated to copy the word from one of the source document positions. When the pointer is activated, the embedding from the source is used as input for the next time-step as shown by the arrow from the encoder to the decoder at the bottom.

Abstractive Text Summarization using seq-to-seq RNNs



Nallapati et al., CoNLL 2016

Figure 3: Hierarchical encoder with hierarchical attention: the attention weights at the word level, represented by the dashed arrows are re-scaled by the corresponding sentence-level attention weights, represented by the dotted arrows. The dashed boxes at the bottom of the top layer RNN represent sentence-level positional embeddings concatenated to the corresponding hidden states.

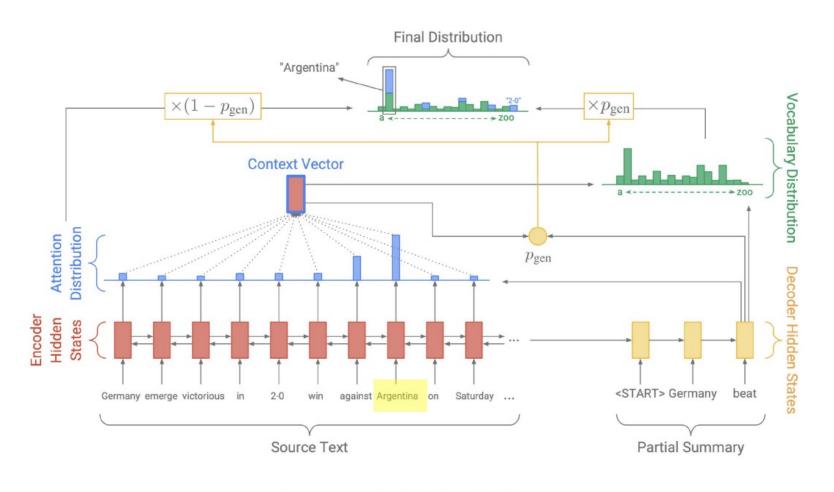
Abstractive Text Summarization using seq-to-seq RNNs

Implements many tricks (nmt, copy, coverage, hierarchical, external knowledge)

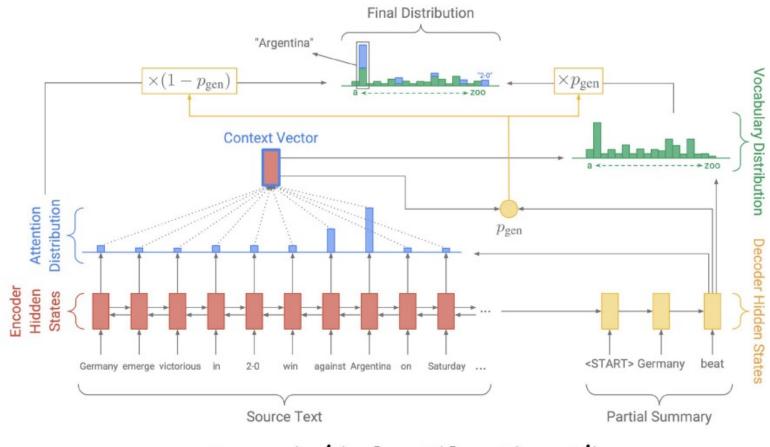
#	Model name	Rouge-1	Rouge-2	Rouge-L	Src. copy rate (%)
Full length F1 on our internal test set					
1	words-lvt2k-1sent	34.97	17.17	32.70	75.85
2	words-lvt2k-2sent	35.73	17.38	33.25	79.54
3	words-1vt2k-2sent-hieratt	36.05	18.17	33.52	78.52
4	feats-lvt2k-2sent	35.90	17.57	33.38	78.92
5	feats-lvt2k-2sent-ptr	*36.40	17.77	*33.71	78.70
Full length F1 on the test set used by (Rush et al., 2015)					
6	ABS+ (Rush et al., 2015)	29.78	11.89	26.97	91.50
7	words-1vt2k-1sent	32.67	15.59	30.64	74.57
8	RAS-Elman (Chopra et al., 2016)	33.78	15.97	31.15	
9	words-lvt5k-1sent	*35.30	16.64	*32.62	

- OOV, Extraction
- "Pointer networks" (Vinyals et al., 2015 NIPS)
- "Pointing the Unknown Words" (Gulcehre et al., ACL 2016)
- "Incorporating Copying Mechanism in Sequence-to-Sequence Learning " (Gu et al., ACL 2016)
- " Get To The Point: Summarization with Pointer-Generator Networks" (See et al., ACL 2017)

Copy words from the source text



See et al., ACL 2017



See et al., ACL 2017

$$P_{\text{vocab}} = \text{softmax}(V'(V[s_t, h_t^*] + b) + b')$$

$$p_{\text{gen}} = \sigma(w_{h^*}^T h_t^* + w_s^T s_t + w_x^T x_t + b_{\text{ptr}})$$

$$P(w) = p_{\text{gen}} P_{\text{vocab}}(w) + (1 - p_{\text{gen}}) \sum_{i:w_i = w} a_i^t$$

Article: andy murray (...) is into the semi-finals of the miami open, but not before getting a scare from 21 year-old austrian dominic thiem, who pushed him to 4-4 in the second set before going down 3-6 6-4, 6-1 in an hour and three quarters. (...)

Summary: andy murray defeated dominic thiem 3-6 6-4, 6-1 in an hour and three quarters.

Article: (...) wayne rooney smashes home during manchester united 's 3-1 win over aston villa on saturday. (...)

Summary: manchester united beat aston villa 3-1 at old trafford on saturday.

See et al., ACL 2017

Other lines of research

- Coverage Mechanism
 - "Modeling Coverage for Neural Machine Translation" (Tu et al., 2016 ACL)
- Graph-based attentional neural model
 - "Abstractive document summarization with a graph-based attentional neural model" (Tan et al., ACL 2017)
- Reinforcement Learning
 - "A deep reinforced model for abstractive summarization." (Paulus et al., ICLR 2018)
- Remaining Challenges
 - Long text abstractive summarization
 - Abstractive multi-document summarization

Historical Overview

- The development of deep NNs lags behind other tasks
- Summarization tasks requires some <u>customized techniques</u> (e.g. *copy*)
- Only technique-ready is not enough ... dataset also matters!
- A good match between "techniques" and "datasets"

Text Summarization with Pretrained Encoders

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In this paper, we showcase how BERT can be usefully applied in text summarization and propose a general framework for both extractive and abstractive models. We introduce a novel document-level encoder based on BERT which is able to express the semantics of a document and obtain representations for its sentences. Our extractive model is built on top of this encoder by stacking several intersentence Transformer layers. For abstractive summarization, we propose a new fine-tuning schedule which adopts different optimizers for the encoder and the decoder as a means of alleviating the mismatch between the two (the former is pretrained while the latter is not).

SimCLS: A Simple Framework for Contrastive Learning of Abstractive Summarization

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paper, we present a conceptually simple while empirically powerful framework for abstractive summarization, SIMCLS, which can bridge the gap between the learning objective and evaluation metrics resulting from the currently dominated sequence-to-sequence learning framework by formulating text generation as a reference-free evaluation problem (i.e., quality estimation) assisted by contrastive learning