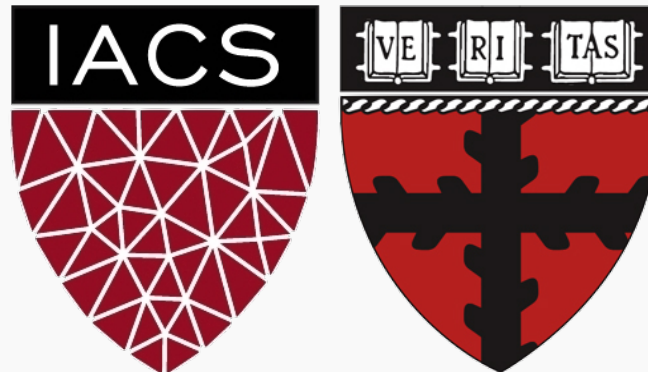


# Lecture 26: Autoencoders

Marios Mattheakis

CS109B Data Science 2

Pavlos Protopapas, Mark Glickman, Chris Tanner



# Pavlos game #4275



WHO IS MOST SIMILAR TO PAVLOS?

Option a



Option B



Option C





WHO IS MOST SIMILAR TO PAVLOS?

COSINE SIMILARITY



= 0.987 ✓

COSINE SIMILARITY



= 0.912

COSINE SIMILARITY



= 0.826

# Winner !

The prize of the competition was to give a lecture for the CS109B

Research Associate at IACS



Doing research in the intersection of data science and applied physics developing deep neural network architectures for:

- Solving differential equations
- Eigenvalue quantum problems
- Material science (crystal structures)
- Hamiltonian Networks
- Inverse problems
- ...

[https://scholar.harvard.edu/marios\\_matthaiakis/home](https://scholar.harvard.edu/marios_matthaiakis/home)

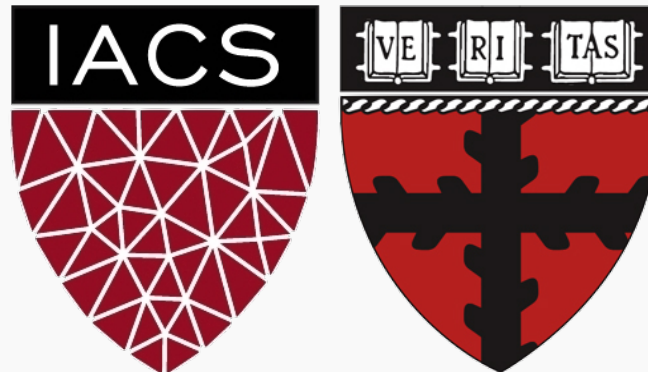


# Lecture 26: Autoencoders

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■ **“Pure” Reinforcement Learning (cherry)**

- ▶ The machine predicts a scalar reward given once in a while.
- ▶ **A few bits for some samples**

■ **Supervised Learning (icing)**

- ▶ The machine predicts a category or a few numbers for each input
- ▶ Predicting human-supplied data
- ▶ **10→10,000 bits per sample**

■ **Unsupervised/Predictive Learning (cake)**

- ▶ The machine predicts any part of its input for any observed part.
- ▶ Predicts future frames in videos
- ▶ **Millions of bits per sample**

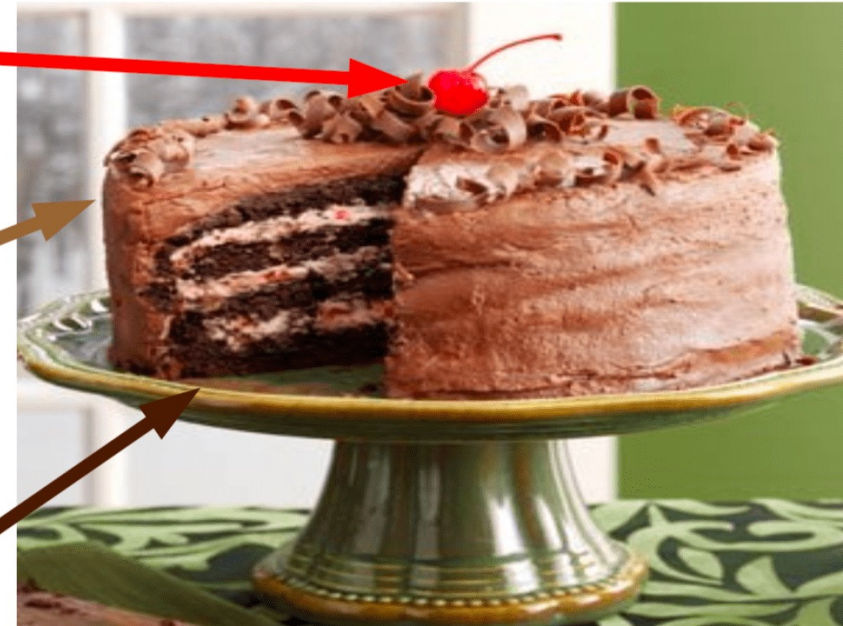


■ (Yes, I know, this picture is slightly offensive to RL folks. But I'll make it up)

Original Yann LeCun cake analogy slide presented at NeurIPS 2016.  
The highlighted area has now been updated.

# How Much Information is the Machine Given during Learning?

- ▶ **“Pure” Reinforcement Learning (cherry)**
  - ▶ The machine predicts a scalar reward given once in a while.
  - ▶ **A few bits for some samples**
- ▶ **Supervised Learning (icing)**
  - ▶ The machine predicts a category or a few numbers for each input
  - ▶ Predicting human-supplied data
  - ▶ **10→10,000 bits per sample**
- ▶ **Self-Supervised Learning (cake génoise)**
  - ▶ The machine predicts any part of its input for any observed part.
  - ▶ Predicts future frames in videos
  - ▶ **Millions of bits per sample**



LeCun updated his cake recipe at the 2019 International Solid-State Circuits Conference (ISSCC) in San Francisco, replacing “unsupervised learning” with “self-supervised learning,” [a variant of unsupervised learning where the data provides the supervision.](#)



# Unsupervised or self-supervised learning

Self-supervised learning can be challenging

**UNSUPERVISED**  
DEEP LEARNING



# Autoencoders Part A

# Outline

---

- **What are autoencoders?**
- **Brief history of encoding/decoding.**
- **Inside autoencoders.**
- Convolutional autoencoders.
- Regularization of autoencoders.
- Applications
  - Denoising
  - Blending

# Neural Networks as universal function approximators

Given an input  $x$  and an output  $y$  there exists a mapping from input space to output space as follows:

$$\begin{aligned}x &\rightarrow y \\ y &= f(x) + \epsilon\end{aligned}$$

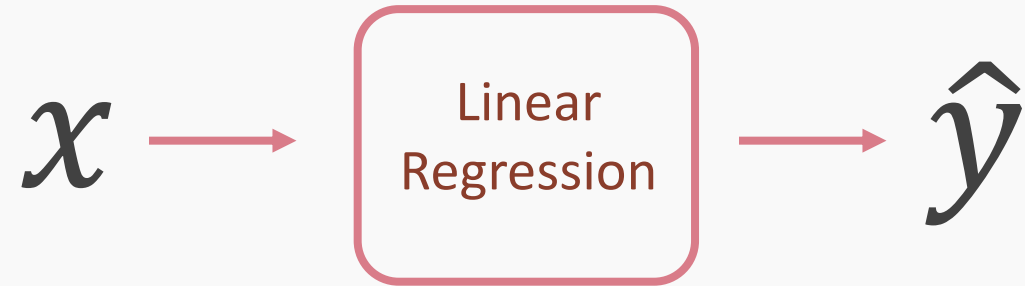
Our goal is to find an estimate of  $f(x)$  which we will call  $\hat{f}(x)$ .

Statistical learning or modeling is the process of finding  $\hat{f}(x)$ .

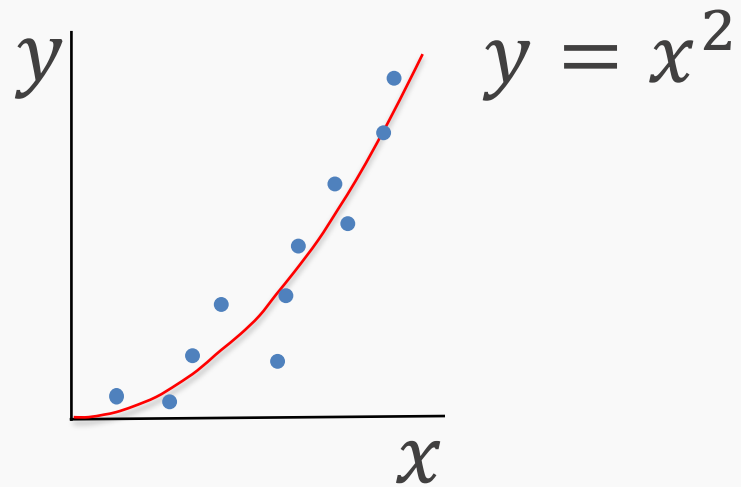
Neural networks are one of many possible methods we can use to obtain the estimate  $\hat{f}(x)$ .



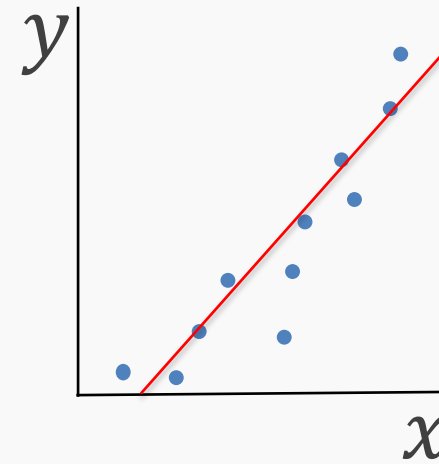
# Linear Regression



Fit quadratic function



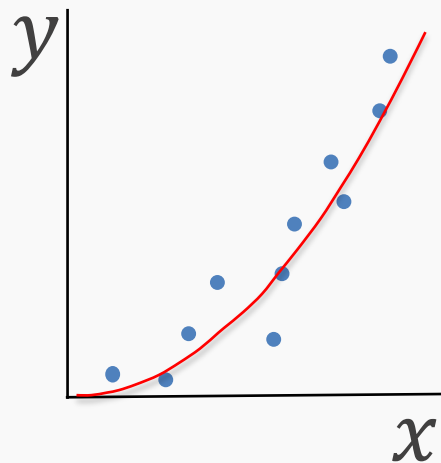
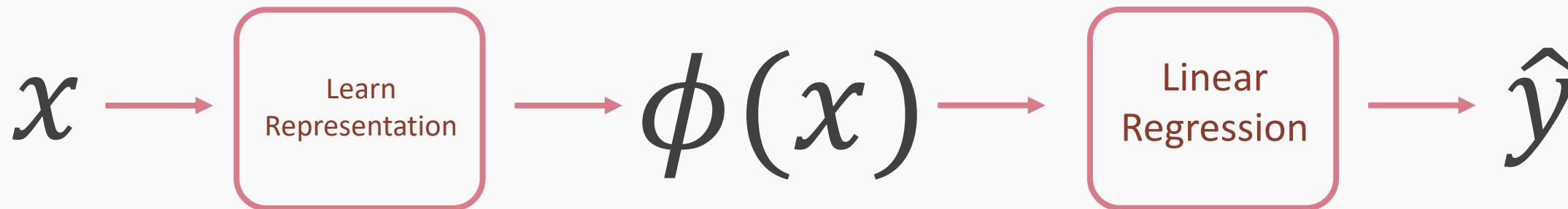
Do your best !



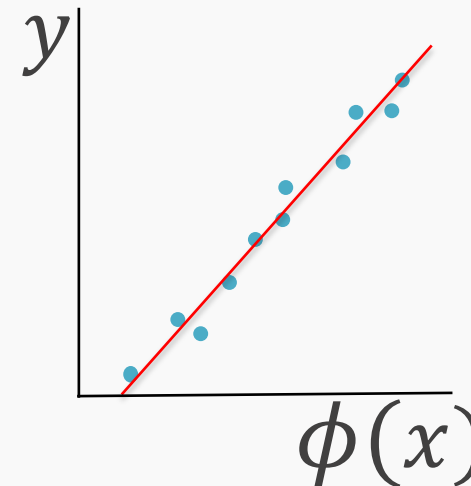
But we know that  
this is not the best

# Representational Learning

Representation Matters

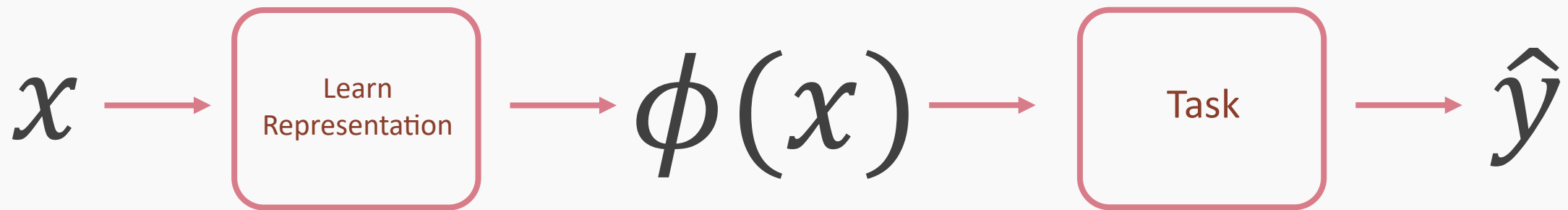


$$\phi(x) = x^2$$



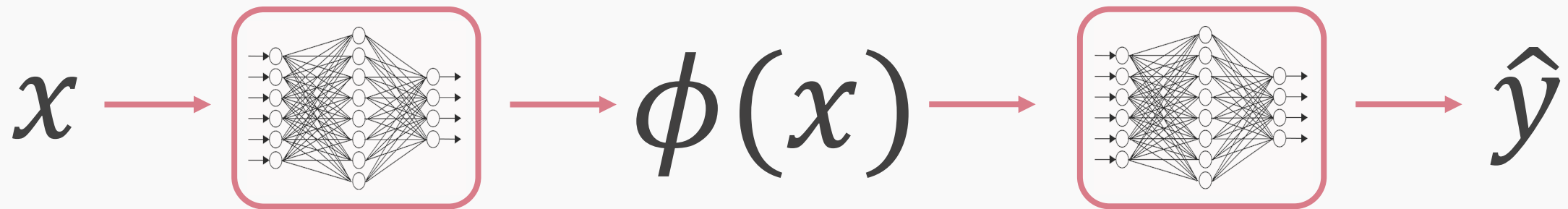
# Representational Learning

## Representation Matters



# Representational Learning

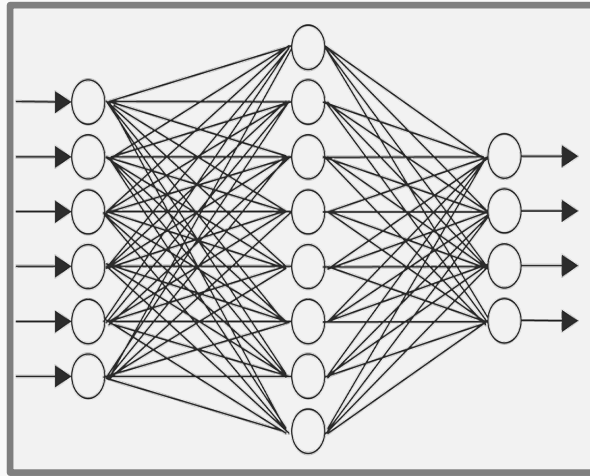
## Representation Matters



# Representational Learning: **Supervised Learning**

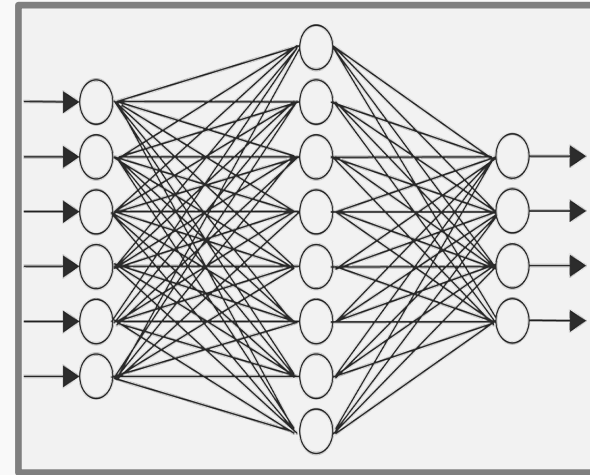
We train the two networks by minimizing the loss function (**cross entropy loss**)

$X$



Feature Discovery Network

Features  
 $\phi(x)$



Classification Network



$Y$

{Cat,Dog}

# Representational Learning: **Self-supervised Learning**

We train the two networks by minimizing the (cross-entropy loss)

$X$

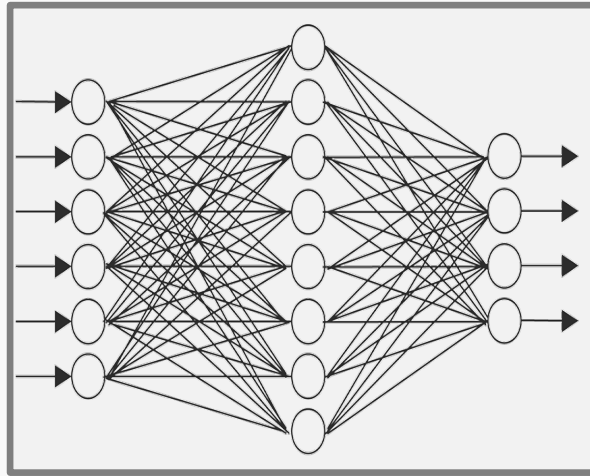
No labels

$Y$

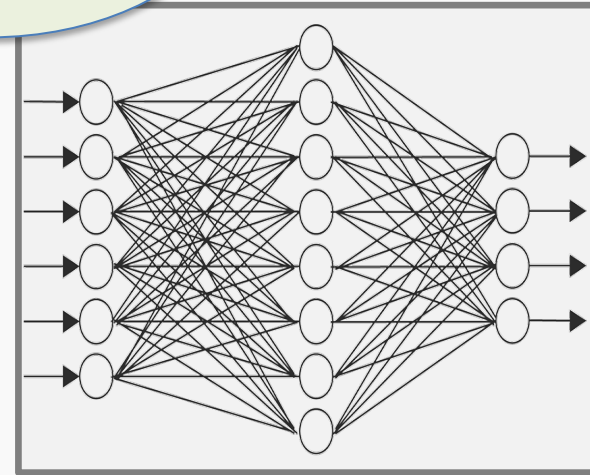
Specialized features for Task

Features  $\phi(x)$

{Cat,Dog}



Feature Discovery Network



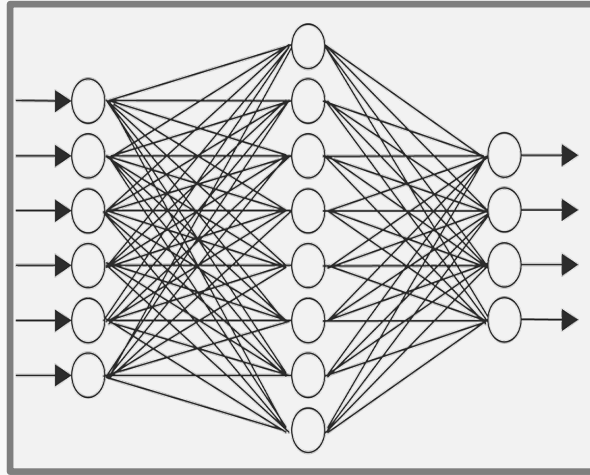
Classification Network

# Representational Learning: **Self-supervised Learning**

We train the two networks by minimizing the **reconstruction** loss function:

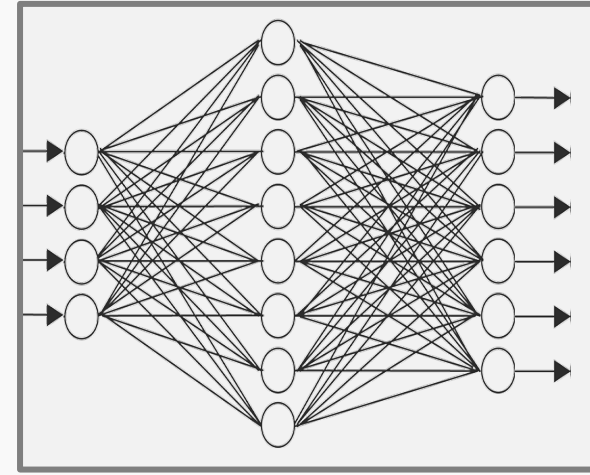
$$\mathcal{L} = \sum (x_i - \hat{x}_i)^2$$

$X$



Feature Discovery Network

Features  
 $\phi(x)$



Second Network

$\hat{X}$



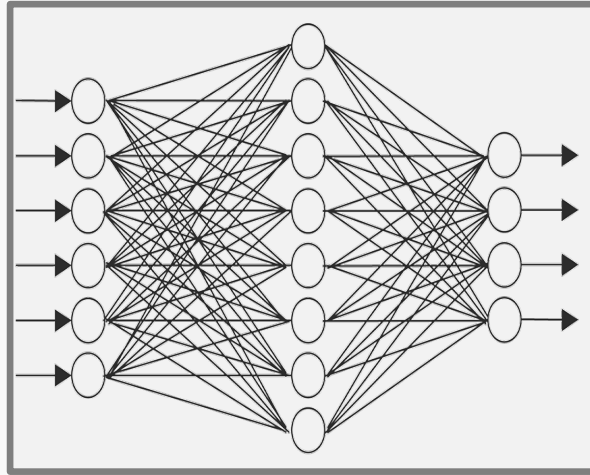


# Representational Learning: **Self-supervised Learning**

We train the two networks by minimizing the **reconstruction** loss function:

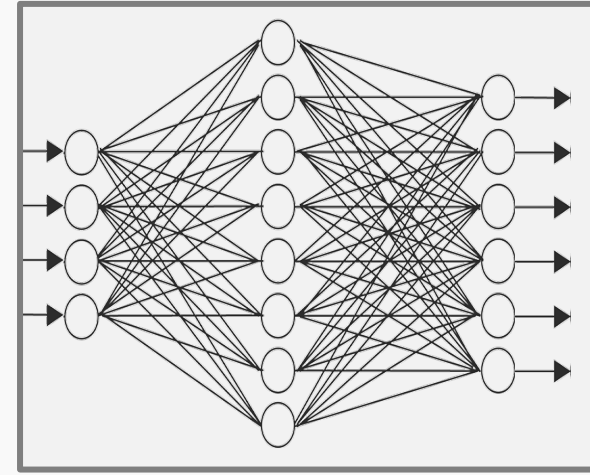
$$\mathcal{L} = \sum (x_i - \hat{x}_i)^2$$

$X$



ENCODER

Latent  
Space  
 $Z$



DECODER

$\hat{X}$



# Representational Learning: **Self-supervised Learning**

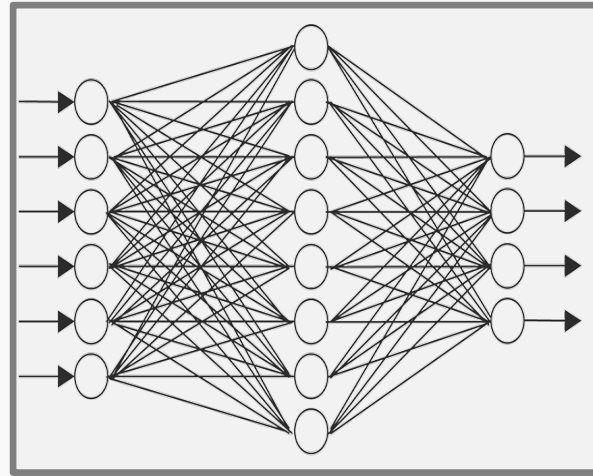
We train the two networks by minimizing the **reconstruction** loss function:

$$\mathcal{L} = \sum (x_i - \hat{x}_i)^2$$

$X$

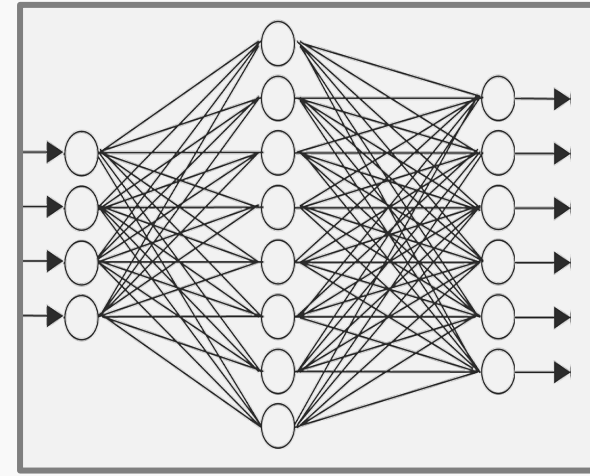
## AUTOENCODER

$\hat{X}$

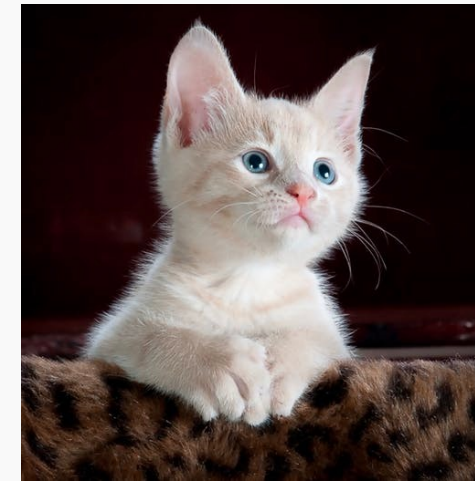


ENCODER

Latent  
Space  
 $Z$



DECODER



This is an **autoencoder**. It gets that name because it automatically finds the best way to encode the input so that the decoded version is as close as possible to the input.

# Brief history of encoding/decoding

---

Is this a new idea?

- **MP3** can compress music files by a factor of 10 enabling digital storage and transmission large volumes of audio.
- **JPG** compresses images by a factor of 10-20 and enables storage and transmission of image data.
- These technologies led the way to the image-rich web and abundance of music that we enjoy today.

# Brief history of encoding/decoding (cont)

---

MP3 and JPG take an input and **encode** it into a **compressed** form.

Then they **decode** or **decompress** the compressed version back to the original version.

Do we get the same quality after the decoding?

# Lossless and Lossy Encoding

**Loss:** The difference between the original and post-decompression object

**Example:** Imagine, I want to make a presentation for upcoming Pavlos' group meeting, so I am asking Pavlos on slack about the topic of the talk

**Pavlos, which topic should I present in the next group meeting?**

Pavlos is biking (his regular 100Km distance) but also wants to reply at the same time

**IMO RL**

Immediately, I read (knowing that Pavlos likes representation learning)

**In My Opinion Representation Learning**

A 33 characters message is compressed to 5 characters (that's a good compression)

# Lossless and Lossy Encoding (cont)

**Question:** Is this an example of lossy or lossless compression?

After a week of hard preparation, I got an excellent presentation on

**Representation Learning**

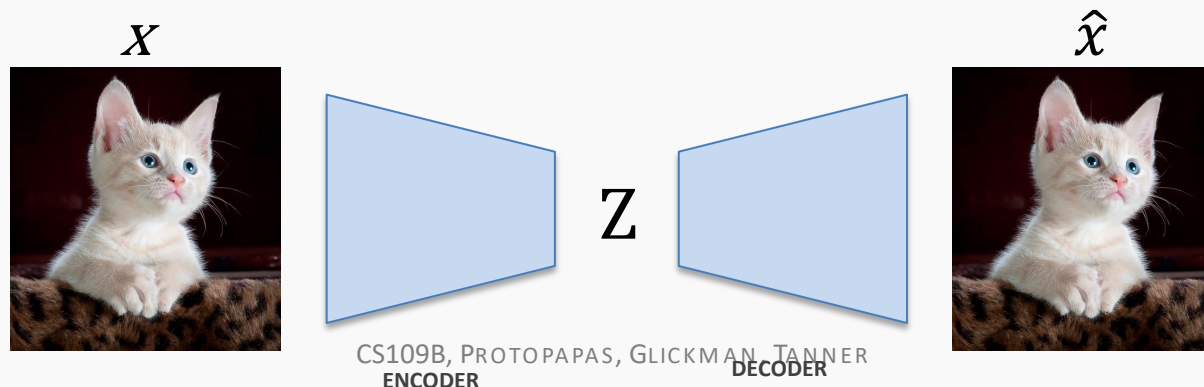
but Pavlos and the other members of the Lab were expecting a talk on

**Reinforcement Learning**

A way to test if a transformation is **lossy** or **lossless** is to measure the difference between the reconstructed and original data.

In autoencoders this is the **reconstruction loss function**.

$$\mathcal{L} = \sum (x_i - \hat{x}_i)^2$$





# MP3 and JPG Image Compression



original

256x256=262,000



MP3

37,000



JPG

26,000



# MP3 and JPG Image Compression(cont)



original



MP3



JPG

# What are autoencoders?

---

- A particular kind of deep learning architecture.
- Compress inputs into a form that can later be decompresses
- Autoencoders are more general than MP3 and JPG
- Able to find a general (abstract) representation of unlabeled data
- They are usually used to ...
  - reduce data dimensionality
  - find general representation and underlying relationships
  - Image denoising, infilling, coloring, blending
  - Anomaly detection
  - ...

# Why a self-supervised learning method?

---

We say that an autoencoder is an example of **semi-supervised** or **self-supervised** learning.

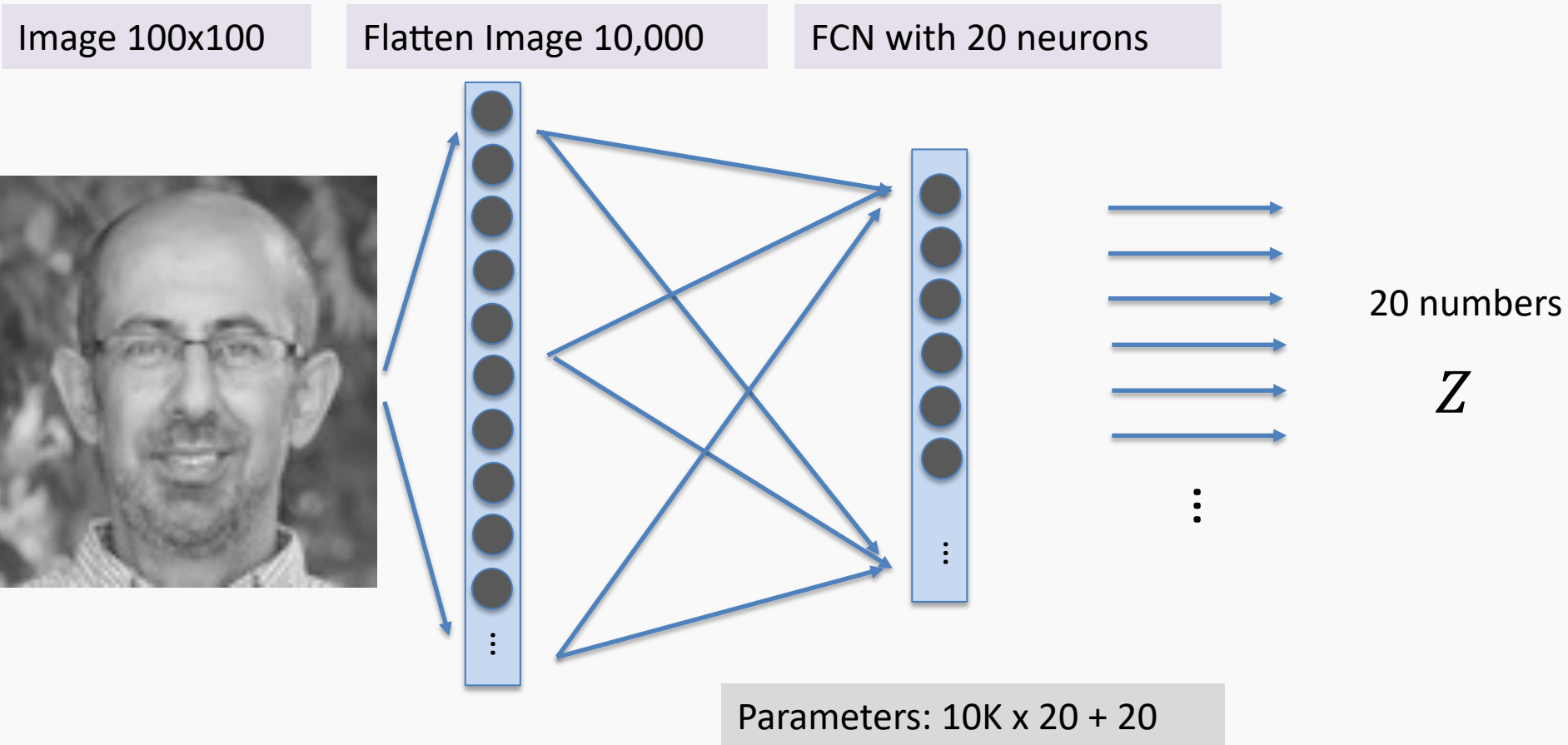
It sort-of is **supervised** learning because we give the system explicit goal data (the output should be the same as the input),

and

it sort-of is **not supervised** learning because we don't have any manually determined labels or targets on the inputs.

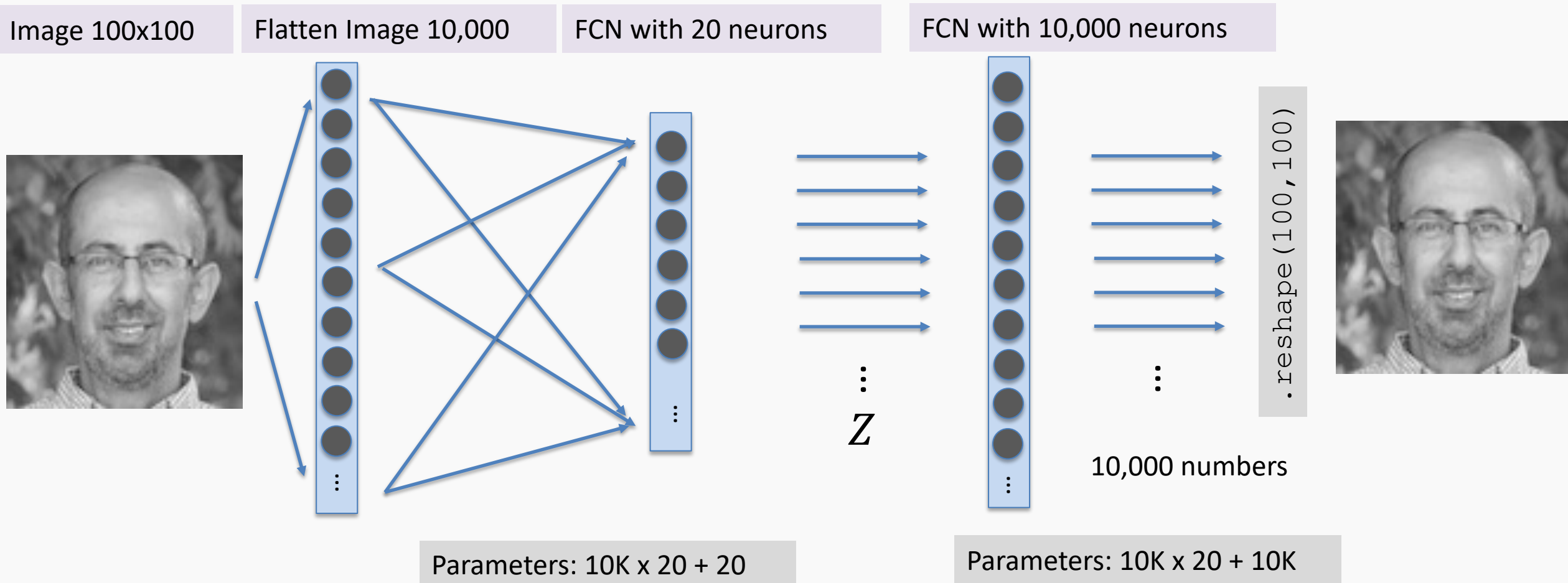
# The simplest autoencoder

## Encode with a simple fully connected network (FCN)



# The simplest autoencoder

Encode and decode together after training



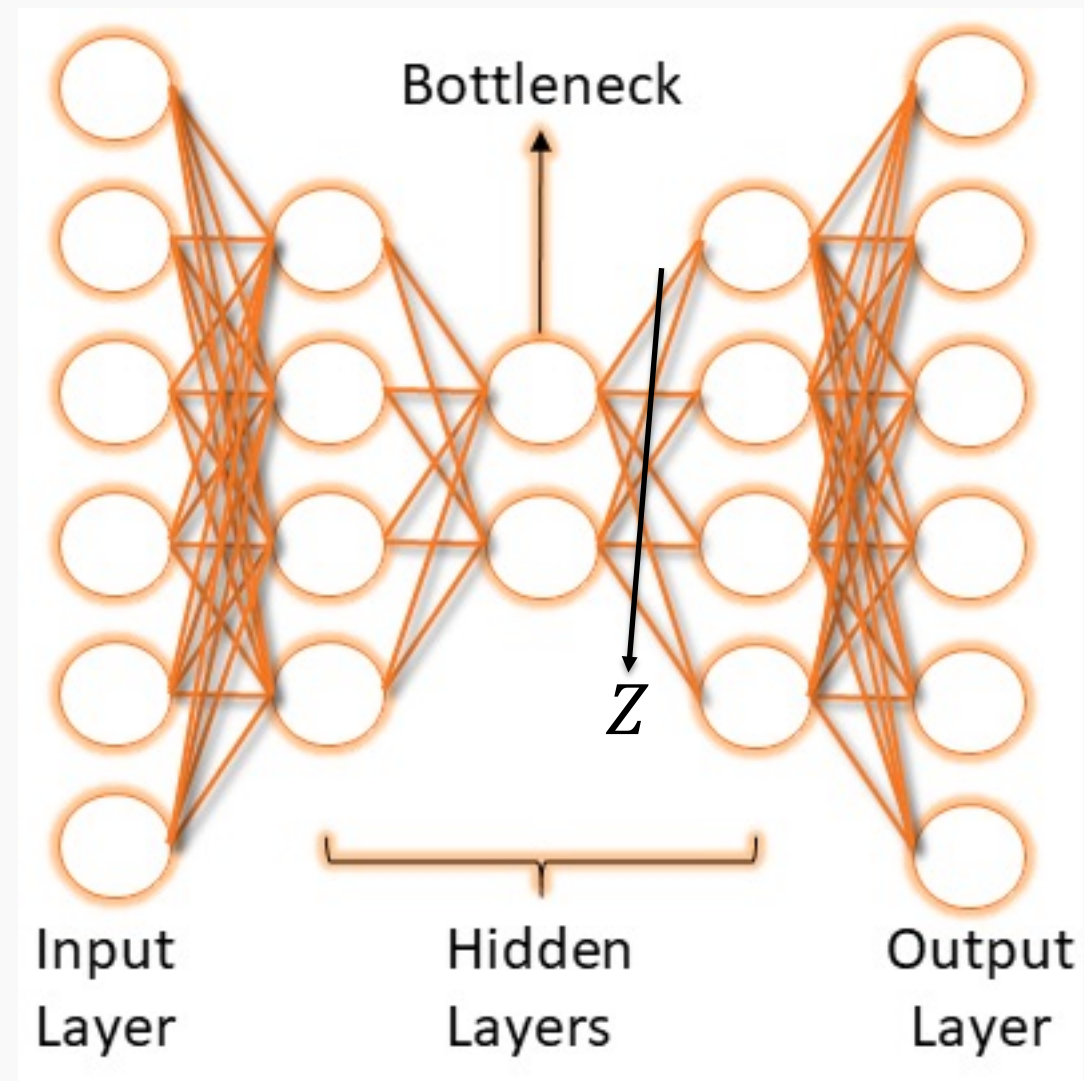
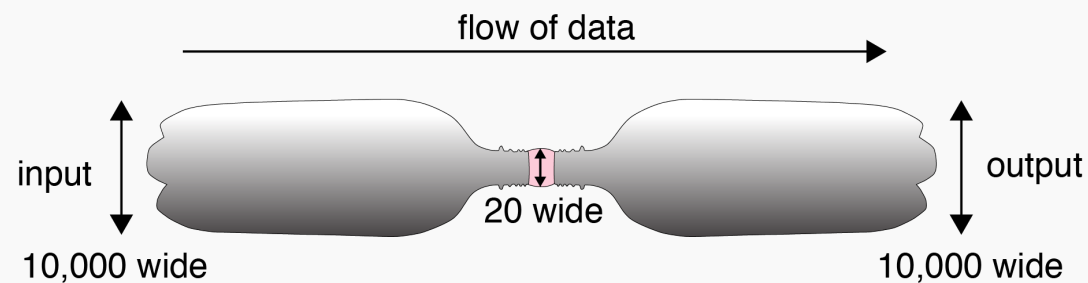
# Autoencoders in action

Comparing the input and output pixel by pixel.



# Bottleneck

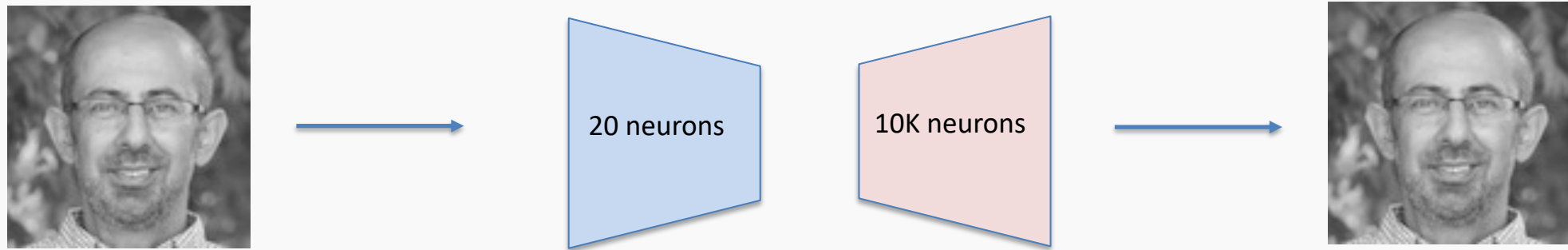
- We start with 10,000 elements
- We have 20 in the middle
- And 10,000 elements again at the end



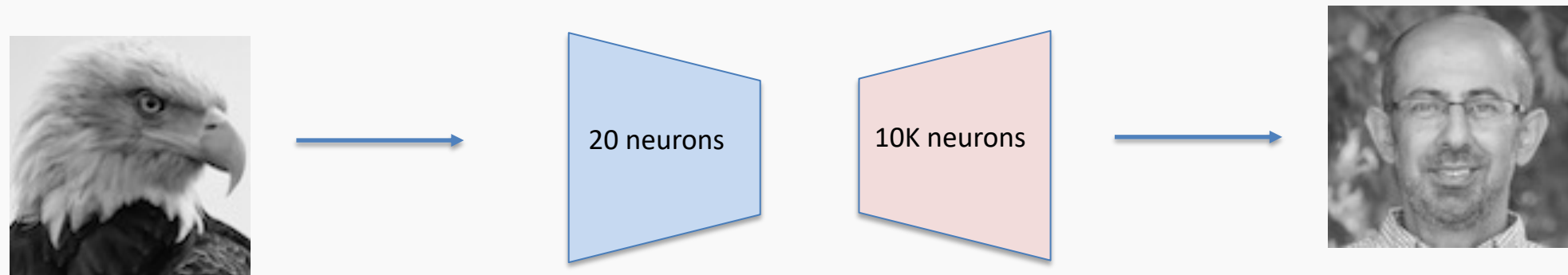


# Autoencoders in action (cont)

Passing “Pavlos” to the previously trained autoencoder returns:



How about if we input the “Eagle”?



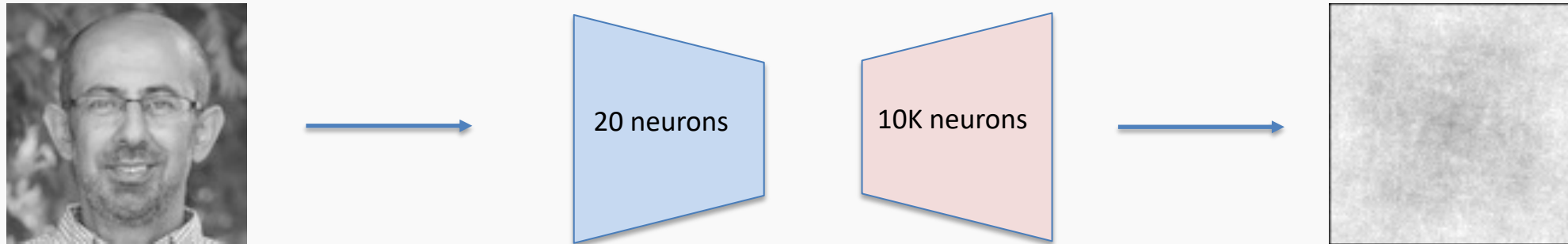
# Autoencoders in action (cont)

We should **train** with a variety of images.



# Autoencoders in action (cont)

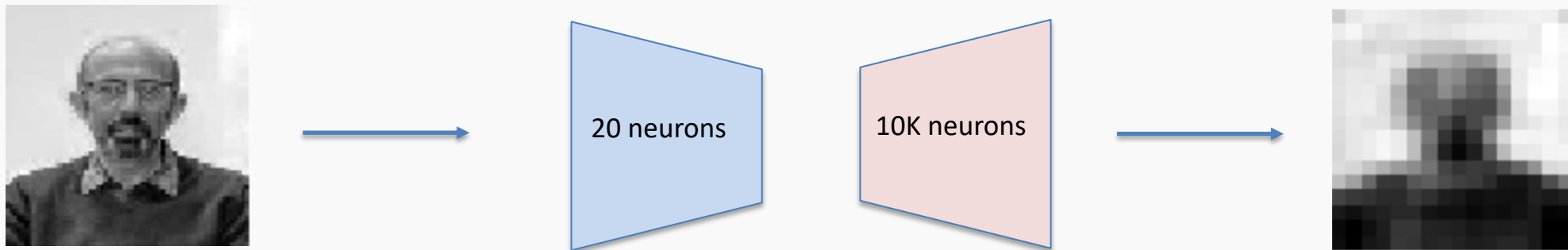
After training with those images, let's test how well it generalizes:



Network has never seen anything like this, so it is no surprise that could not reconstruct a face.

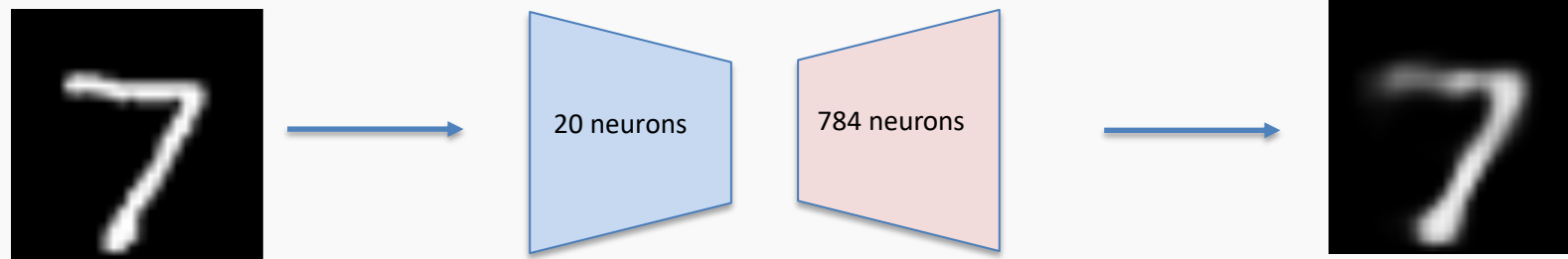
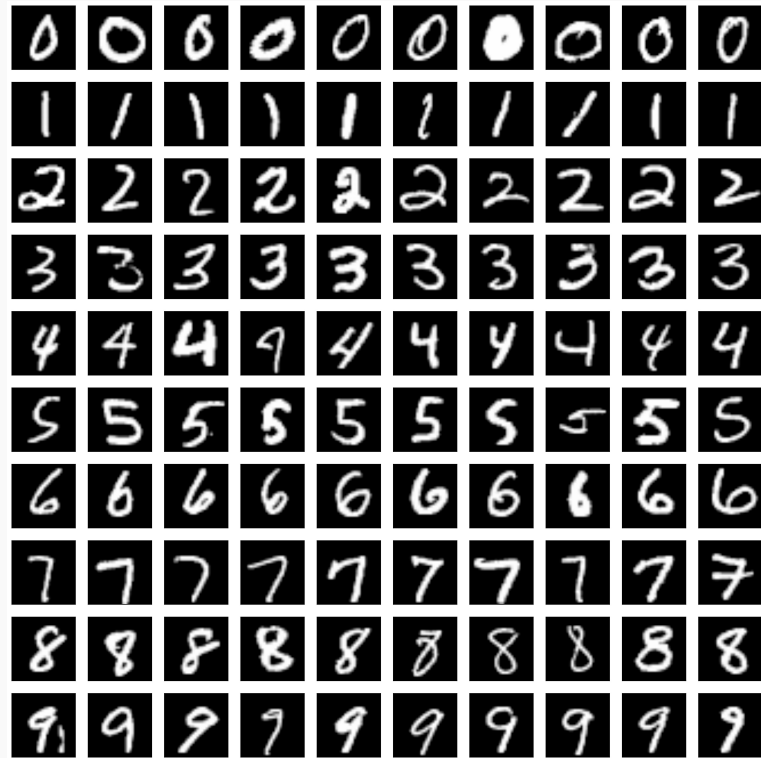
# Autoencoders in action (cont)

We can use a better training set such as the Olivetti faces



# Train on a better dataset yields a better autoencoder

MNIST data: train a simple AE with one-layer FCN encoder and one-layer FCN decoder



20 latent variables

original



reconstructed



10 latent variables

original



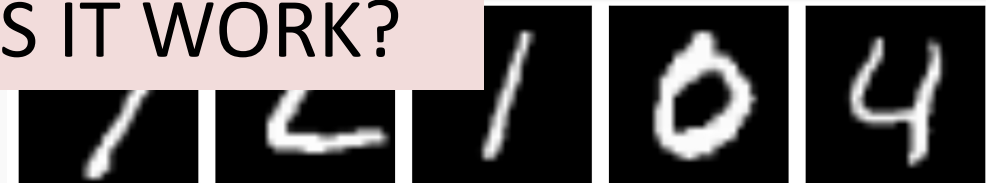
reconstructed



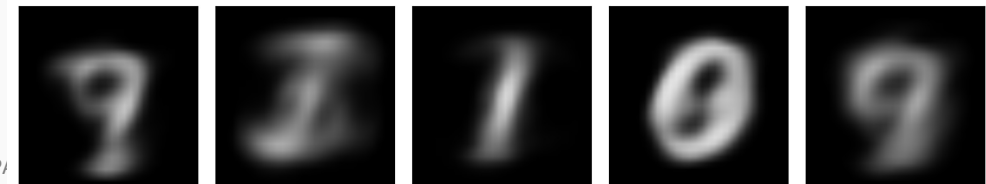
WHY DOES IT WORK?

2 latent variables

original



reconstructed





## Encoding is easy:

Considering a number with infinity number of decimals, we can encode the whole universe.

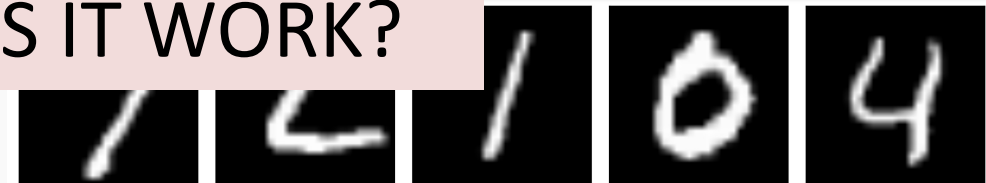
## Learning representation is difficult:

We need sufficient latent dimensions to learn the underlying relationships that are hidden in the data.

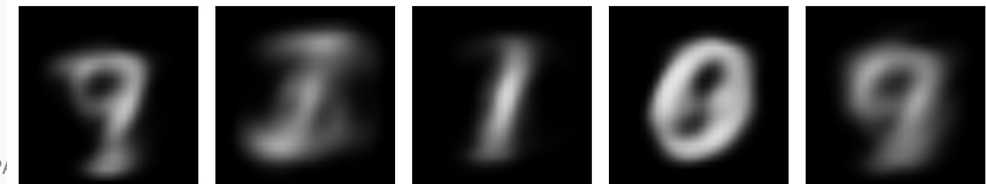
### WHY DOES IT WORK?

2 latent variables

original

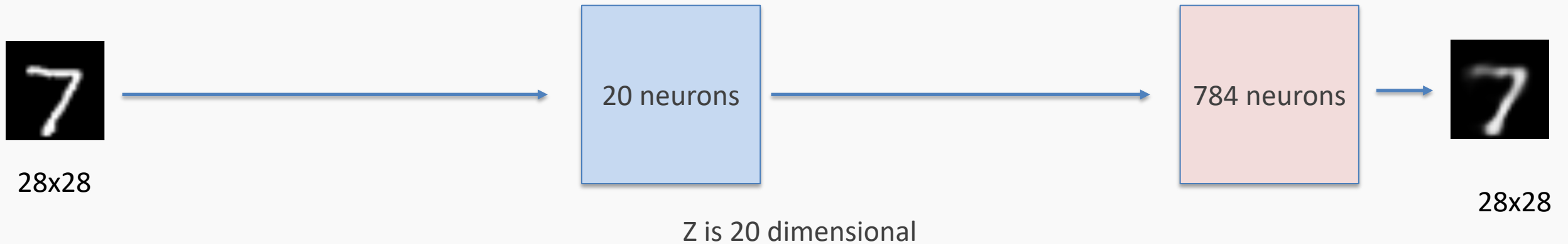


reconstructed



# Deeper

For a better representation we can add neurons in one layer or go deeper.



Original Images



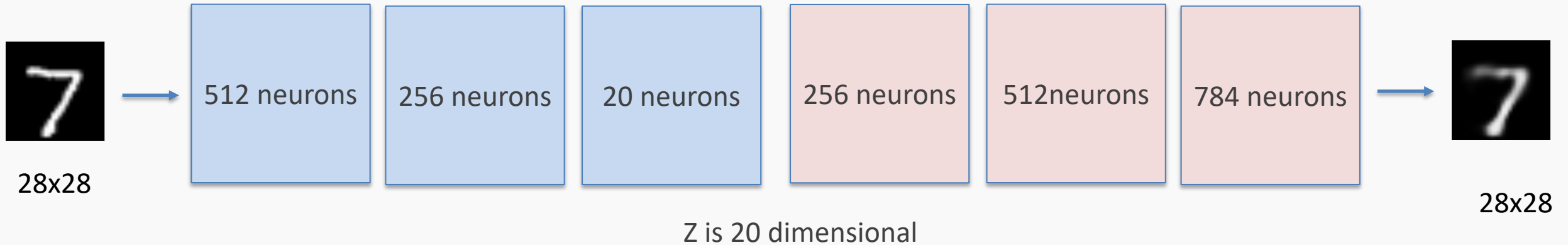
Reconstructed Images



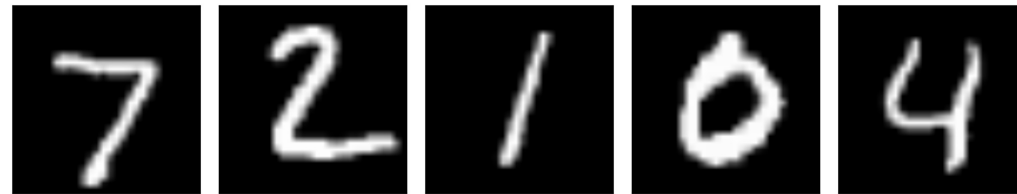
Shallow AE: 20 latent variables

# Deeper

For a better representation we can add neurons in one layer or go deeper.



Original Images



Reconstructed Images



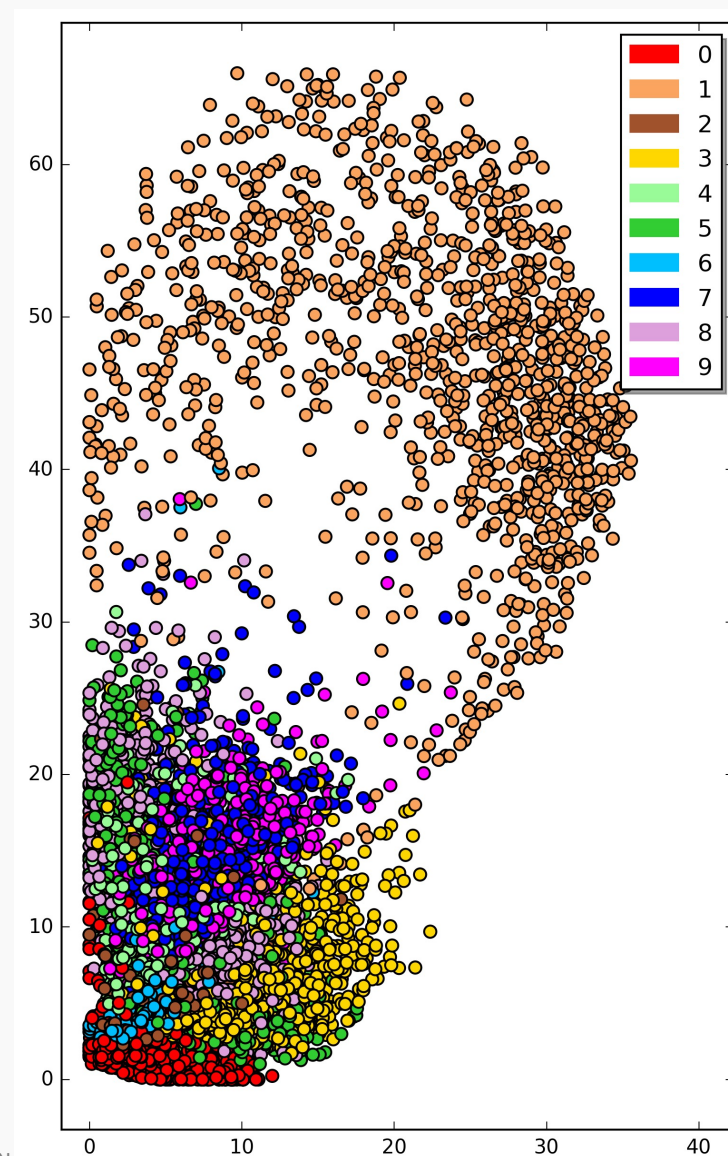
Deep AE: 20 latent variables

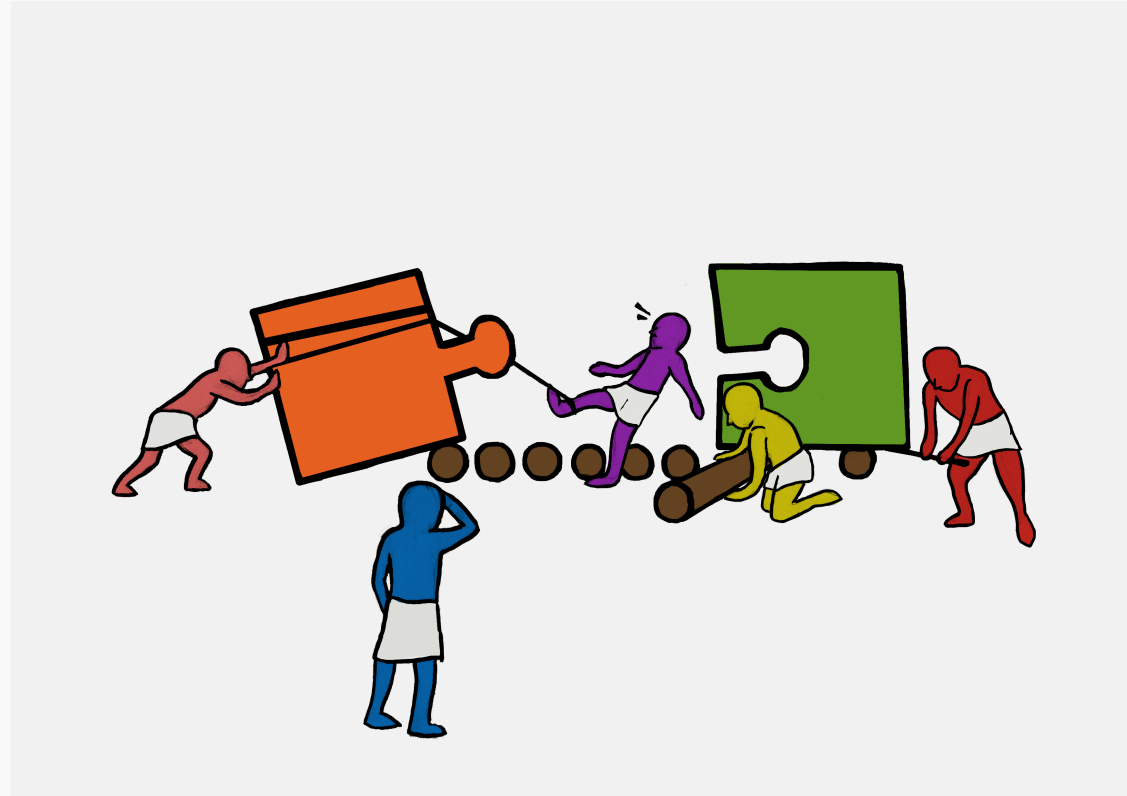
# Latent space of autoencoder

If the AE learns the “essence” of the MNIST images, similar images should be close to each other in the Z space, implying **Contextual learning**

Plot a 2D projection of the latent space to examine the separation (2 PCA components)

Labels (colors) and PCA are used just for visual inspection (evaluation)





# Exercise 1: Introduction to Autoencoders using the MNIST dataset